



DOCKET NO: B00801.70234.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George L. Mutter
Serial No: 09/977,054
Confirmation No: 1813
Filed: October 12, 2001
For: METHOD AND DISPLAY FOR MULTIVARIATE
CLASSIFICATION

Examiner: Not yet assigned
Art Unit: 3653


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GROUP 3600

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 30th day of December, 2002.


June Watson

Commissioner for Patents
Washington, D.C. 20231

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STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International Application No. PCT/US01/31852, filed October 12, 2001.

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

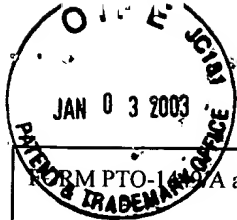
Respectfully submitted,
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Docket No. B00801.70234.US

Date: December 30, 2002

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| FORM PTO-1 A and B (Modified) | | | | APPLICATION NO.: 09/977,054 | | ATTY. DOCKET NO.: B00801.70234.US | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | FILING DATE: October 12, 2001 | | CONFIRMATION NO.: 1813 | |
| | | | | APPLICANT: George L. Mutter | | | |
| | | | | GROUP ART UNIT: 3653 | | EXAMINER: unassigned | |
| Sheet | 1 | of | 1 | | | | |

U.S. PATENT DOCUMENTS

| Examiner's Initials | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication or of issue of Cited Document MM-DD-YYYY |
|------------------------|-------------|----------------------|--------------|--|--|
| | | Number | Kind Code | | |
| | A1 | 5,739,808 | A | Suga et al. | 04/14/1998 |
| | A2 | 5,871,698 | A | Rothberg et al. | 02/16/1999 |
| | A3 | 6,214,556 | B1 | Olek et al. | 04/10/2001 |
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FOREIGN PATENT DOCUMENTS

| Examiner's Initials | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document (not necessary) | Date of Publication of Cited Document MM-DD-YYYY | Translation (Y/N) |
|------------------------|-------------|-------------------------|----------|--------------|---|---|----------------------|
| | | Office/ Country | Number | Kind Code | | | |
| | B1 | WO | 99/28498 | A2 | Epigenomics GmbH | 06/10/1999 | |
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OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials | Cite No | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | Translation (Y/N) | |
|------------------------|------------|---|----------------------|--|
| | C1 | FUREY et al., "Support vector machine classification and validation of cancer tissue samples using microarray expression expression data", <i>Bioinformatics</i> , Vol. 16, No. 10, pp. 906-914 (2000) | | |
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| EXAMINER | DATE CONSIDERED | GROUP 3600 |
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]